Resolution No. 109

WHEREAS, the Energy Facility Site Evaluation Council has promulgated a notice of intent to adopt, amend or repeal rules concerning Procedures for Initial Public Hearings and Contested Case Hearings; and

WHEREAS, notice of such proposed adoption, amendment or repeal was contained in Notice No. 6148 filed September 8, 1976, with the Code Reviser's office and continued by Notice No. 7224 filed October 27, 1976, with the Code Reviser's office;

NOW THEREFORE BE IT RESOLVED by the Energy Facility Site Evaluation Council that WAC 463-08-035, 463-08-045, 463-08-010, 463-08-021, 463-08-022, 463-08-025, 463-08-030, 463-08-040, 463-08-050, 463-08-055 are hereby repealed; and

BE IT FURTHER RESOLVED by the Energy Facility Site Evaluation Council that the rules listed on Exhibit "A" attached hereto, and by this reference made a part hereof, concerning Procedures for Initial Public Hearings and Contested Case Hearings are hereby approved and adopted as permanent rules of the Energy Facility Site Evaluation Council;

BE IT FURTHER RESOLVED by the Energy Facility Site Evaluation Council that this resolution and annexed regulations, after first being recorded in the Order Register of the Energy Facility Site Evaluation Council, shall be forwarded to the Code Reviser for filing pursuant to RCW 34.04.

Dated this 8th day of November, 1976.

Keith Sherman, Chairman

ATTEST:

Roger Polzin
Executive Secretary

APPROVED AS TO FORM:

Thomas F. Carr
Assistant Attorney General
PROCEDURE—INITIAL PUBLIC HEARING (NON-CONTESTED)

WAC 463-26-__ PURPOSE OF THIS CHAPTER. This chapter sets forth the procedures to be followed in the conduct of the initial public hearing held pursuant to RCW 80.50.090(1) and the public informational meeting described in WAC 463-26-__.

WAC 463-26-__ NOTIFICATION OF COUNTY LEGISLATIVE AND PORT DISTRICT AUTHORITIES. Before scheduling the initial public hearing, the Council will notify the legislative and port district authorities in each concerned county so that the county and port district representatives may be identified pursuant to RCW 80.50.030(4) and (5).

WAC 463-26-__ NEWS RELEASES. It shall be the responsibility of the executive secretary to furnish appropriate news releases to local media outlets at least 10 days in advance of the initial public hearing and informational meetings conducted pursuant to this chapter.

WAC 463-26-__ ADVERSARY NATURE OF HEARINGS. The initial public hearing conducted pursuant to this chapter shall be conducted as adversary proceeding.

WAC 463-26-__ PRIMARY PURPOSE FOR HEARING. At the commencement of the initial public hearing, the Council shall explain that the primary statutory purpose of the initial hearing under RCW 80.50.090(1) is to determine whether the proposed facility is consistent and in compliance with county or regional land use plans or zoning ordinances and that this matter shall have priority.
WAC 463-26— PUBLIC ANNOUNCEMENT—TESTIMONY. At the outset of the initial public hearing, the Council shall publicly announce that opportunity for testimony by anyone shall be allowed relative to the consistency and compliance with county or regional land use plans or zoning ordinances.

WAC 463-26— INTRODUCTION OF COUNSEL FOR THE ENVIROMENT. The Council shall invite the Counsel for the Environment to be present at the initial public hearing. Counsel for the Environment shall be introduced and afforded an opportunity to explain his or her statutory duties under chapter 80.50 RCW.

WAC 463-26— EXPLANATION OF ENTIRE CERTIFICATION PROCESS. At the commencement of the hearing, the Council shall generally explain the entire hearing process as set forth in RCW 80.50.090 and these regulations.

WAC 463-26— PROCEDURE WHERE CERTIFICATES AFFIRMING COMPLIANCE WITH ZONING ORDINANCES OR LAND USE PLANS ARE PRESENTED. This rule contemplates that applicants will enter as exhibits, at the hearing, certificates from local authorities attesting to the fact that the proposal is consistent and in compliance with county or regional land use plans or zoning ordinances. In cases where this is done, such certificates will be regarded as prima facie proof of consistency and compliance with such zoning ordinances or land use plans absent contrary demonstration by anyone present at the hearing.

WAC 463-26— PROCEDURE WHERE NO CERTIFICATES RELATING TO ZONING ORDINANCES OR LAND USE PLANS ARE PRESENTED. In cases where no certificates relating to zoning or land use are presented to the Council, then the applicant will be required to demonstrate compliance with local zoning or land use plans as part of its presentation. Local authorities shall then be requested to testify on the
question of consistency and compliance with county or regional land
use plans or zoning ordinances.

WAC 463-26- DETERMINATION REGARDING ZONING OR LAND USE.
Prior to the conclusion of the hearing, the Council shall make a
determination as to whether the proposed site is consistent and in
compliance with land use plans or zoning ordinances pursuant
to RCW 80.50.090(2).

WAC 463-26- INITIAL DETERMINATION SUBJECT TO REVIEW. At the
time that the determination on zoning or land use planning is made,
the Council shall explain that this determination may be reopened
later during the course of a contested case hearing by the parties.
to that proceeding when good cause is shown.

WAC 463-26- PUBLIC INFORMATION MEETING. The Council shall
conduct at least one public information meeting concerning each
application. At this meeting, the Council will present the general
procedure to be followed in processing the application including a
tentative sequence of Council actions, the rights and methods of
participation by local government in the process, and the means and
opportunities for the general public to participate.

The applicant shall made a presentation of the proposed project
utilizing appropriate exhibits. The presentation shall include: a
general description of the project and the proposed site; reasons
why the proposed site or location was selected; and a summary of
anticipated environmental, social, and economic impacts.

The general public will be afforded an opportunity to present
written or oral comments relating to the proposed project. The com-
ments will not be part of the contested case record.
The informational meeting will be held in the general proximity of the proposed project. Whenever feasible it will be held in conjunction with the land use or zoning hearing as a separate and independent order of business.
APPENDIX A

PROCEDURE--CONTESTED CASE HEARINGS

WAC 463-30- PURPOSE AND SCOPE OF THIS CHAPTER. The purpose of this chapter is to set forth procedures by which contested case hearings are to be conducted before the Council. Except as indicated herein, the Uniform Procedural Rules set forth in chapter 1-08 WAC shall not apply to contested case hearings before the Council.

WAC 463-30- HEARING EXAMINERS AND PANELS. The Council may appoint a single hearing examiner or multimember panel of Council members to conduct contested case hearings. Panels may consist of Council members or hearing examiners or both. This shall not preclude the full participation of any other Council member.

WAC 463-30- USE OF THE TERM "COUNCIL." The term "Council," for purpose of this chapter, shall mean the Council, hearing panel, or hearing examiner, whichever is appropriate in context.

WAC 463-30- HEARING EXAMINER DESIGNATION. An attorney appointed to fulfill the function of hearing examiner under these rules shall be designated "Administrative Law Judge."

WAC 463-30- STATUS OF AGENCIES AND AGENCY MEMBERS IN CONTESTED CASES. All state agencies having members on the Council are deemed to be parties to any contested case before the Council. For purpose of any contested case hearing, however, the agency representative on the Council shall be deemed to be a member of the Council and not a member of the agency. It shall be proper for the agency representative on the Council to maintain liaison with the represented agency, excepting those agency employees actively involved in the contested case proceedings.
WAC 463-30-000 Definitions—Persons and Parties. The terms "person" and "party" when used in this chapter shall have the following meanings. The term "person" shall be defined according to RCW 80.50.020(3). The term "party" shall mean and be limited to the following:

(1) The "Applicant" as defined in RCW 80.50.020(1).

(2) Each "Member Agency" as defined in RCW 80.50.020(16).

(3) The "Counsel for the Environment" as defined in RCW 80.50-020(13).

(4) Each person admitted to a contested case proceeding as an "Intervenor," provided that the Council order granting intervention specifically provides that such person shall be a party to the proceeding, and provided further that such person shall be a party only for such purposes and subject to such limitations and conditions as may be specified in the Council order granting intervention.

WAC 463-30-000 Pleadings—Legibility. All pleadings shall be typewritten, mimeographed, or printed except for good cause shown.

WAC 463-30-000 Commencement of Contested Case Proceedings. Contested case proceedings pursuant to RCW 80.50.090(3) shall be commenced on receipt of the application.

WAC 463-30-000 Publicity—Commencement of Contested Case Proceedings. Upon the filing of an application for certification, the Council shall prepare an appropriate statement for dissemination to the news media which shall: (1) describe all actions taken to date regarding the proposed site, and (2) state clearly that any person may be allowed to present timely written or oral argument for or against the proposed site to be certified and that advance written notice within a reasonable time shall be required of persons who desire to argue orally.
WAC 463-30— Appearance and Practice Before the Council. In determining who shall be entitled to appear and practice before the Council, the Council will be guided by the provisions of WAC 1-08-040 through WAC 1-08-060.

WAC 463-30— Notice and Opportunity to Be Heard—Time. In all cases where a hearing is requested by a party or directed by the Council, parties shall be served with notice within the time required by any governing statute. In the absence of a statutory requirement, then notice shall be given not less than 20 days prior to the date set for hearing. The notice shall state the time, place, and issues involved. These notice provisions may be waived by the Council for good cause shown after assurance that waiver would not substantially affect the rights of any other party.

WAC 463-30— Service—By Whom Served. The Council shall cause to be served all orders, notices, and other papers issued by it, together with any other papers which it is required by law to serve. Every other paper shall be served by the party filing it.

WAC 463-30— Service—Upon Whom Served. All papers served in connection with an application shall be served upon all members of the Council and upon parties, their counsel of record, or upon agents designated to receive service, subject to the exceptions set forth in the following section.

WAC 463-30— Waiver of Service—Filing. Any party may file a waiver of service with the Council in any contested case proceeding. In the event of such filing, there shall be no further requirement to effect service upon such party. It is the intent and purpose of this section to enable parties to effect a sizeable reduction in
required service upon parties who are and wish to remain inactive in the contested case proceeding.

WAC 463-30- SERVICE--METHOD OF SERVICE. Service of papers may be made personally or by first-class, registered or certified mail, or by telegraph.

WAC 463-30- SERVICE--WHEN SERVICE COMPLETE. Service by mail shall be deemed complete upon deposit in the U. S. Mail, properly stamped and addressed. Service by telegraph shall be deemed complete when deposited with a telegraph company, properly addressed with charges prepaid.

WAC 463-30- PROOF OF SERVICE--FILING WITH COUNCIL. Papers required to be filed with the Council shall be deemed filed upon actual receipt by the Council at its office accompanied by proof of service upon parties required to be served.

WAC 463-30- PROOF OF SERVICE--METHOD. Proof of service should be made in the same manner as in the Superior Court of the State of Washington.

WAC 463-30- LIMITED DISCOVERY PRACTICE. Formal discovery devices in contested case proceedings shall consist of subpoenas, depositions, interrogatories, and requests for production.

WAC 463-30- SUBPOENAS--PRACTICE. The Council practice regarding subpoenas shall substantially conform to the provisions of WAC 1-08-150 through WAC 1-08-220.

WAC 436-30- DEPOSITIONS AND INTERROGATORIES--PRACTICE. Council practice regarding depositions and interrogatories shall be governed by the provisions of WAC 1-08-230 through WAC 1-08-360.
REQUEST FOR PRODUCTION. Any party may request of any other party the production of original records, documents or other written material, or copies thereof, wherever the request materials are not reasonably available to the requesting party through other sources. Where the cost to produce becomes significant the Council may, upon motion, determine which party shall bear the burden of cost. The scope of requests for production shall be limited to the matters specified in WAC 1-08-240 and protection shall be afforded comparable to that available under WAC 1-08-270.

OFFICIAL NOTICE--MATTERS OF LAW. Upon written or oral motion, the Council may officially notice any matter of law. The Council will be guided by WAC 1-08-370.

OFFICIAL NOTICE--MATERIAL FACTS. Upon written or oral motion, the Council may officially notice relevant facts in the absence of controverting evidence. In implementing this rule, the Council will be guided by WAC 1-08-380.

STIPULATIONS AND ADMISSIONS OF RECORD. The existence or nonexistence of a material fact, as made or agreed in a stipulation or in an admission of record, will be conclusively presumed against any party bound thereby, and no other evidence with respect thereto will be received upon behalf of such party. Any party bound by a stipulation or admission of record may withdraw the same by showing that it was made inadvertently or under a bona fide mistake of fact and that withdrawal will not unjustly prejudice the rights of other parties to the proceeding.

DEFINITION OF ISSUES BEFORE HEARING. In all contested case proceedings the issues to be decided shall be made as precise as possible so that the Council may promptly conduct the hearing on relevant and material matter only. It is the intent and purpose
of this section to foster agreement by the parties to the issue to be decided. In the event of failure of the parties to agree to definition and refinement of the issues for hearing, the Council may require submission of statements and briefs by the parties defining the principal issues. In such event, the Council shall define the issues for hearing and may limit the scope of hearing to those pre-determined issues.

WAC 463-30- PREHEARING CONFERENCES. PRIOR TO HEARING.

On its own motion or at the request of a party the Council may direct the parties to appear at a specified time and place for prehearing conferences regarding any scheduled hearing. Primary emphasis shall be on the simplification of issues prior to hearing. In the discretion of the Council, the following matters may also be taken up:

(1) The necessity of amendments to the pleadings;
(2) The possibility of obtaining stipulations, admissions of facts, or documents;
(3) The limitation of the number of expert witnesses;
(4) Other matters which may aid in disposition of the proceeding, including scheduling of the hearing and determination of sequence of the subject matter.

WAC 463-30- ATTENDANCE BY COUNCIL MEMBERS AT PREHEARING CONFERENCES. Individual Council members may be present but not participate in prehearing conferences.

WAC 463-30- PREHEARING CONFERENCE RECORD OF ACTION. The Council shall make an order reciting actions taken during any informal consultation. As appropriate, the order shall also recite amendments to pleadings or stipulations. The order shall control the subsequent course of the proceedings unless modified by subsequent Council action.
HEARING SCHEDULE GUIDELINES. In any contested case proceeding on certification the Council shall, after consultation with the parties schedule the hearing process so that the following general subject areas may be heard separately at specified times, to the extent they are in issue:

1. The description of the particular energy facility and the proposed site.
2. Consistency of the proposal with zoning and land use regulations.
3. Physical site suitability and related safety considerations.
4. NPDES permit or permits.
5. On-site and local impacts (physical): such as aquatic, terrestrial and atmospheric.
6. On-site and local impacts (societal): such as housing, services, recreation, economics, transportation, health, and tax base.
7. Peripheral area impacts (all categories).
8. Adverse impacts minimization and consideration of conditions of certification.

The Council may alter the sequence in which the foregoing matters are to be considered in any given case. At the commencement of the contested case hearing, the Council shall publicly announce the proposed schedule by which the hearing is to be conducted. It is the intent and purpose of this section to accomplish two equally important objectives. First, interested persons may avail themselves of the opportunity to attend and hear only those segments of the whole hearing process which are of keen personal interest. Second, applicants and other parties may determine the specific nature of Council concern regarding critical issues without the necessity of proceeding through the entire hearing process.
WAC 463-30-___ RULES OF EVIDENCE. In ruling upon evidentiary
matters, the Council shall be guided by the provisions of WAC 1-08-
450 through WAC 1-08-530.

WAC 463-30-___ PROPOSED COUNCIL ORDER OR RECOMMENDATION. In
any case where a contested case proceeding is conducted before a
hearing panel or a hearing examiner, there shall be prepared a pro-
posed Council order, supported by written findings of fact and con-
clusions of law, copies of which shall be served upon all parties.
The proposed order, findings and conclusions shall be transmitted to
the Council. In a site certification proceeding, the proposed
Council order shall be designated a Proposed Council Recommendation
and shall be styled accordingly.

WAC 463-30-___ PROPOSED ORDER--EXCEPTIONS. Any party of record
may file exceptions to a proposed Council order. Exceptions must be
filed with the Council and one copy must be served upon all parties
of record within 10 days of the date of service of the proposed
Council order.

WAC 463-30-___ PROPOSED ORDER--CONTENTS OF EXCEPTIONS. Excep-
tions to proposed Council orders shall be specific and shall be
stated and numbered separately. Exceptions to findings of fact must
be supported by reference to the portion of the record or the evi-
dence relied upon and shall be accompanied by a recommended findings
of fact. Exceptions to conclusions of law must be supported by
specific reference to the appropriate legal authority and accompanied
by a suggested corrected conclusion of law. When exceptions are
taken to statements in the proposed Council order itself, there
shall be included a full written justification for such exceptions.

WAC 463-30-___ REPLIES TO EXCEPTIONS. Any party of record may
file replies to exceptions with the Council. One copy shall be
served on all parties within 10 days of the date of service of the exception.

WAC 463-30—REPLIES TO EXCEPTIONS— CONTENTS. Replies to exceptions shall be specific, shall be stated and numbered separately and shall be supported by reference to that page or part of the record or evidence or legal authority relied upon to support the reply.

WAC 463-30—EXCEPTIONS AND REPLIES TO EXCEPTIONS—BRIEFS AND ARGUMENTS. Briefs or written arguments shall accompany exceptions and replies to exceptions. The Council may, by order, direct oral argument on exceptions at a time and place to be designated by it.

WAC 463-30—ADOPTION OF PROPOSED ORDER. After fully considering all objections to the proposed Council order, the Council may approve it in its proposed form or modify it as appropriate.

WAC 463-30—RECOMMENDATION—TRANSMITTAL TO GOVERNOR. Upon the adoption by the Council of its recommendations as to the approval or disapproval of an application for certification, the Council shall forward such recommendations to the governor.

WAC 463-30—INTERVENTION. On timely application in writing to the Council, intervention shall be allowed to any person upon whom a statute confers a right to intervene and, in the discretion of the Council, to any person having an interest in the subject matter and whose ability to protect such interest may be otherwise impaired or impeded. All petitions to intervene shall be verified under oath by the petitioner, shall adequately identify the petitioner, and shall establish with particularity an interest in the subject matter and that the ability to protect such interest may be
otherwise impaired or impeded. In exercising discretion with
regard to intervention, the Council shall consider whether interven-
tion by the petitioner would unduly delay the proceeding or prejudice
the rights of the existing parties.

WAC 463-30- PARTICIPATION BY INTERVENOR. In general, it is
the policy of the Council to allow any intervenor broad procedural
latitude. To the extent that the Council determines that numerous
intervenors might unduly delay the proceedings or prejudice the
rights of existing parties, intervenor status may be conditioned
upon assent by the prospective intervenor and Counsel for the
Environment to allowing the Counsel for the Environment to act as
lead counsel for the balance of the hearing, where the intervenor's
interests more closely align with those of the Counsel for the
Environment. Intervenor status may also be conditioned upon allow-
ance of other parties to act as lead parties, where appropriate.
The Council reserves the right to prescribe other limitations and
conditions, where appropriate. It is the intent and purpose of this
section to prevent unwarranted proliferation of issues leading, in
turn, to delay and prejudice to existing parties.

WAC 463-30- PARTICIPATION BY COUNTY REPRESENTATIVES. The
Council shall divide and segregate matters in any contested case in
a manner which will enable county representatives to participate
only in those decisions relating to matters directly affecting the
county represented.